

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
David R. Schwartz et al.)	Confirmation No.: 4301
)	
Application No.: 09/925,558)	Group Art Unit: 2121
)	
Filed: August 10, 2001)	Examiner: M. Bell
)	
For: ARTIFICIAL INTELLIGENCE (AI))	Attorney Docket No. 049796-5001
SYSTEMS AND METHODS FOR RISK)	
ASSESSMENT)	
(AS AMENDED))	

INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, Mail Stop **Amendment**
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicant brings to the attention of the Examiner the documents listed on the attached PTO Form 1449. This Information Disclosure Statement is being filed to overcome the Examiner's objection as noted in the Amendment response submitted herewith. Specifically, the attached PTO Form 1449 includes the date of publication of the Hudson et al. reference, "Fuzzy Logic in a Medical Expert System." Additional references are submitted for the Examiner's consideration.

To the best of the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a final Office Action on the merits for the above-referenced application accompanied by the fee set forth in 37 C.F.R. § 1.17(p) of **\$180.00**, as believed to be necessary.

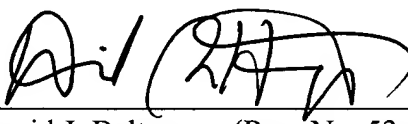
Copies of the listed documents are attached. Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached PTO Form 1449.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "Prior Art." If it should be determined that any of the listed documents do not constitute "Prior Art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Please charge the **\$180.00** fee and any additional fee due in connection with the filing of this Statement to the Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310.

Respectfully submitted,
MORGAN, LEWIS & BOCKIUS LLP

Dated: January 10, 2005

By: 
David J. Baltazar (Reg. No. 53,964)

Customer No. 009629
MORGAN, LEWIS & BOCKIUS LLP
Morgan, Lewis & Bockius LLP
1111 Pennsylvania Avenue, NW
Washington, DC 20004
Tel: 202.739.3000
Fax: 202.739.3001

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY DOCKET NO.

049796-5001

APPLICATION NO

09/925,558

APPLICANT

David R. SCHWARTZ et al.

FILING DATE

August 10, 2001

GROUP

2121

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A01	Des. 310,856	09/1990	Pedersen	D21	166	
A02						
A03						
A04						
A05						

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO
B01					
B02					
B03					
B04					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

C01	D. L. Hudson & M. E. Cohen. <i>Fuzzy Logic in a Medical Expert System</i> . In M. M. Gupta & T. Yamakawa, editors, <i>Fuzzy Computing</i> , pp. 273-284. Elsevier Science, North Holland (1988).
C02	David R. Schwartz et al., "Computational Intelligence Techniques for Risk Assessment and Decision Support", <i>Children and Youth Services Review</i> , Vol. 26, Issue 11, pp. 1081-1095 (2004).
C03	J. M. Garibaldi et al., "The Development and Implementation of an Expert System for the Analysis of Umbilical Cord Blood," (Nov. 19, 1998).
C04	
C05	
C06	
C07	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.